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## Screening for swallowing problems in the complex critical care patient

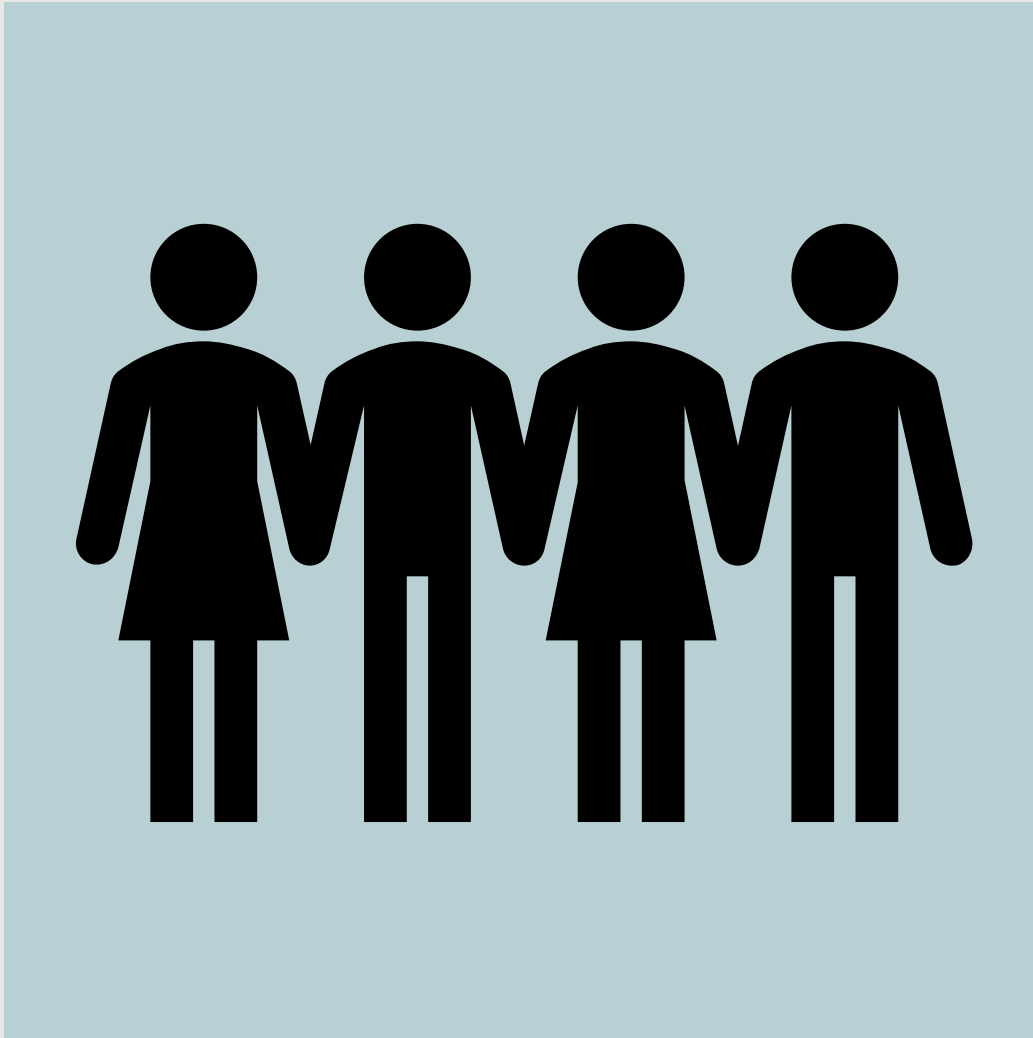
Breaking down barriers with Speech and Language Therapy

- Summary
- **Recognise** risk factors for dysphagia in the complex critical care patient
- **Identify** key signs and symptoms of dysphagia
- **Determine** suitable interventions to be undertaken by nurses and referral to SLT
- **Deliver** daily interventions that enhance swallowing skills

## Session outline:

- Online poll - 5 mins
- Knowledge sharing – 10 mins
- Workshop Activity – 20 min
- Online poll – 5 min

# Why is swallow screening important?



# Why? Step 1 CC3N Competencies

## 1:2.4 Tracheostomy Care

You must be able to demonstrate through discussion essential knowledge of (and its application to your supervised practice):	Competency Fully Achieved Date/Sign
• Anatomical position of tracheostomy	
• Indications for insertion of a tracheostomy	
• Types of tracheostomies <ul style="list-style-type: none"> <li>o Percutaneous tracheostomy</li> <li>o Surgical tracheostomy</li> <li>o Mini tracheostomy</li> </ul>	
• Knowledge of tracheostomy care bundle and NCEPOD best practice standards	
• Importance of: <ul style="list-style-type: none"> <li>o Securing tube safely</li> <li>o Changing/cleaning inner-tube</li> <li>o Checking cuff pressures</li> <li>o Wound care management</li> </ul>	
• Tracheostomy emergency algorithm and best practice standards, including bedside safety equipment, escalation for blocked tube, unplanned decannulation (Refer to national and local guidelines)	
<b>You must be able to undertake the following in a safe and professional manner</b>	
• Provide emotional reassurance and support	
• Care for the stoma site	
• Clean and change the inner tube	
• Observe an insertion of a percutaneous tracheostomy	
• Appropriately monitor the patient following tracheostomy insertion	
• Observe a decannulation	
• Appropriately monitor the patient following decannulation	
• Appropriately plan & deliver care in line with national/local guidelines	
• Outline associated swallowing assessments processes and difficulties	

## 1:12 Rehabilitation

The following competency statements are about the initial rehabilitation needs of the patient in a critical care environment, including those that have suffered a major trauma.

### 1:12.1 Rehabilitation Initial Assessment and Referral

You must be able to demonstrate through discussion essential knowledge of (and its application to your supervised practice):	Competency Fully Achieved Date/Sign
• Relevant national guidance, policies and procedures relating to the rehabilitation needs of the critically ill: <ul style="list-style-type: none"> <li>o NICE CG 83</li> <li>o Trauma rehabilitation pathways</li> <li>o NICE CG 50</li> </ul>	
• The importance of rehabilitation being identified and started within 24 hours of admission to critical care	
• The importance of Rehabilitation prescription and/or plans	
• How you would identify those critical care patients who may have rehabilitation needs and the resources available to you to highlight such needs: <ul style="list-style-type: none"> <li>o Rehabilitation pathways</li> <li>o Short clinical rehabilitation assessments</li> <li>o Nutritional assessment tools</li> <li>o Swallowing assessments</li> <li>o Pain assessment tools</li> <li>o Delirium assessments</li> <li>o Referral to relevant MDT members</li> <li>o Long term rehabilitation assessments</li> <li>o Rehabilitation goal setting</li> <li>o On-going reassessments of needs</li> </ul>	
• Rehabilitation requirements of a critical care patient and the services from which you may require advice or input (including but not limited to): <ul style="list-style-type: none"> <li>o Pharmacy</li> <li>o Dietician</li> <li>o Physiotherapy</li> <li>o Occupational Therapy</li> <li>o Speech &amp; Language</li> <li>o Clinical psychology</li> </ul>	
• Criteria for referral for each MDT member listed in the rehabilitation process	
• Importance of regularly reviewing and screening the rehabilitation needs of the patient	

1.11.8 Be aware that traumatic injury that requires intubation, or causes facial trauma, oedema or loss of dentition may lead to a voice disorder, decreased speech intelligibility and/or **swallowing** difficulties. Consider early referral to appropriate professionals as needed; this may include maxillofacial specialists, dental services, ear, nose and throat services, or speech and language therapy.

1.11.51 If there are concerns about safe **swallowing** and risk of aspiration (see [recommendation 1.1.10](#)), keep the person nil by mouth and carry out a **swallowing** assessment by an appropriately trained healthcare professional as soon as possible. If immediate assessment is not available, maintain hydration and nutrition by non-oral means. Also [underline on nutrition support for adults](#).

## Respiratory function, **swallowing** and speech

1.15.13 Keep the person nil by mouth until their risk of aspiration has been assessed (see [recommendation 1.11.51](#)).

1.15.14 Be aware that people with cervical spine injuries and those managed on flat bed rest, are particularly at risk of **swallowing** and speech difficulties and should be assessed early for risk of aspiration.

## Maintaining mobility and movement

1.15.21 For people with a spinal cord injury who are using a spinal orthosis (for example, cervical collar or thoraco-lumbar spinal orthosis), regularly assess them for complications such as pain, pressure sores, **swallowing** or breathing difficulties (particularly in older people or those with dementia or delirium).

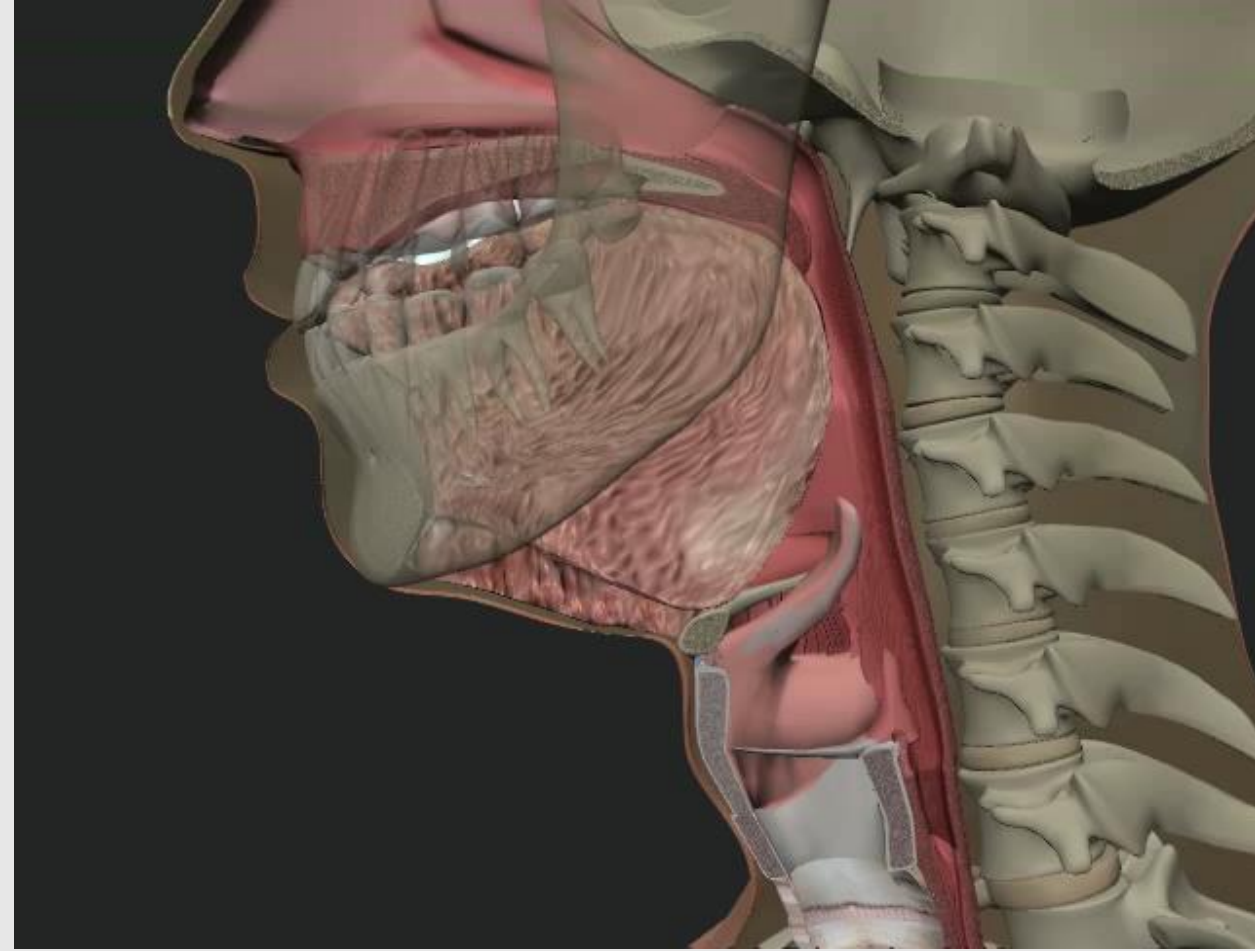
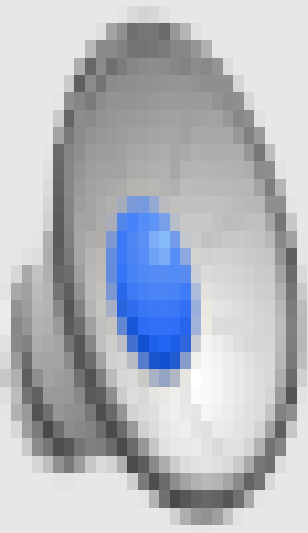


Bon  
Appetit

# What is the problem?



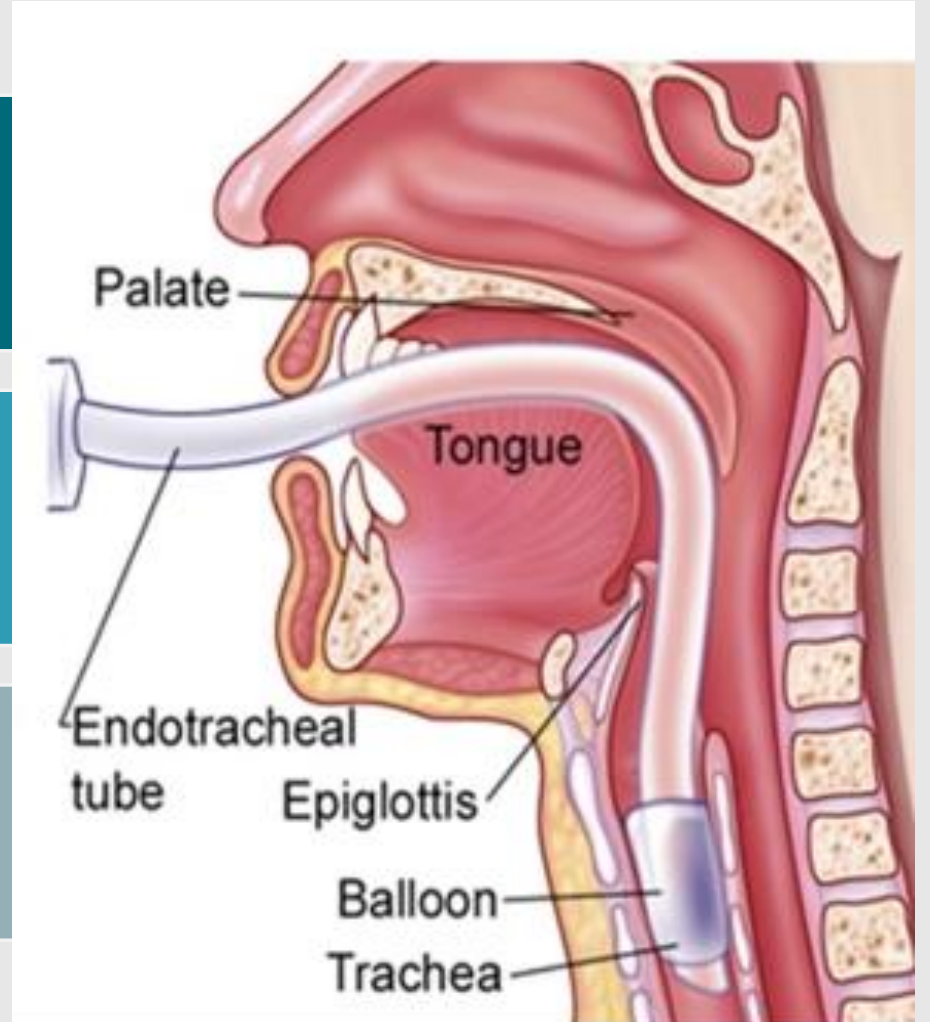
# Normal Swallowing→Dysphagia



Respiratory

Neurological

Mechanical



# Post-extubation dysphagia

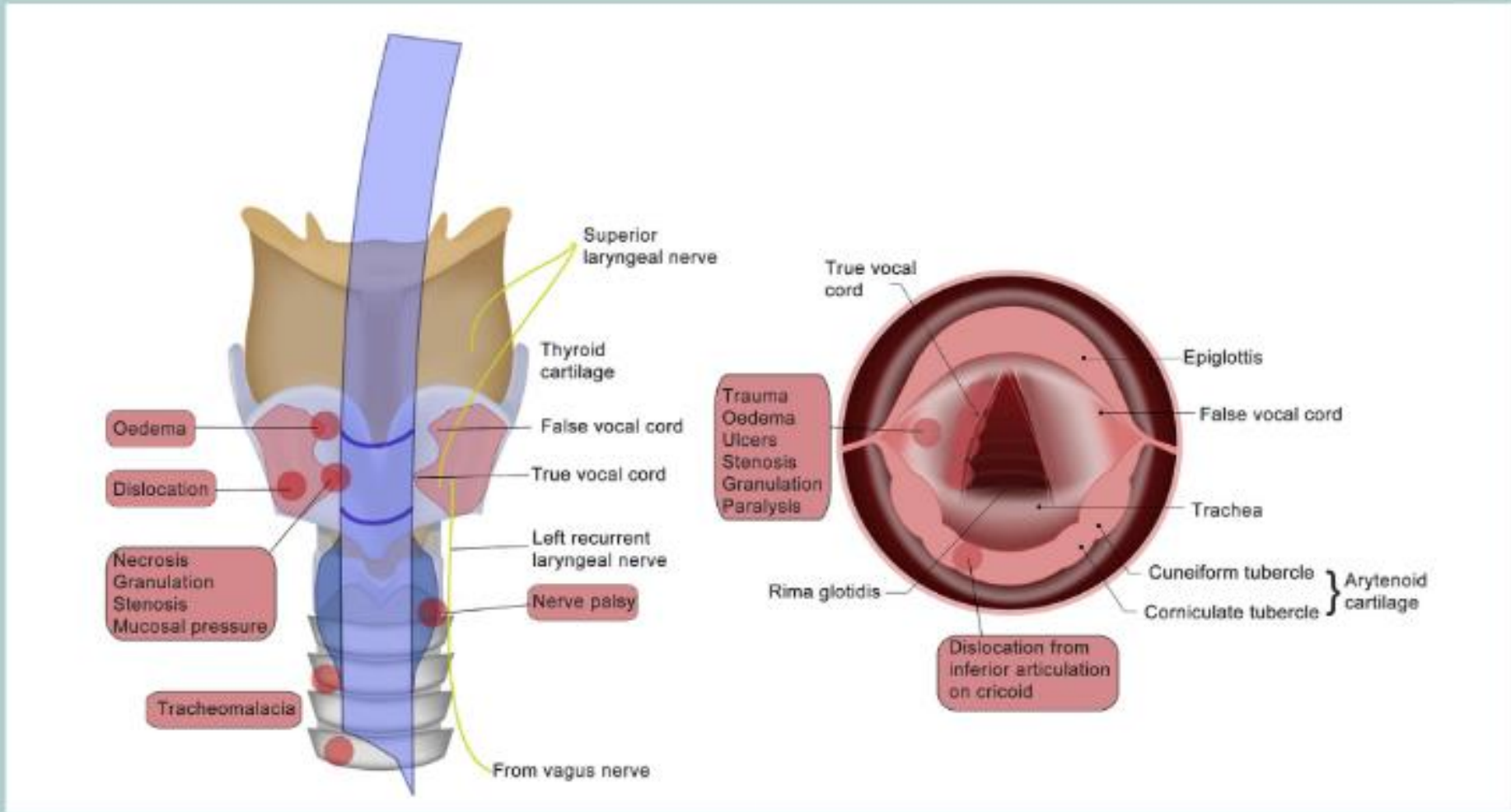
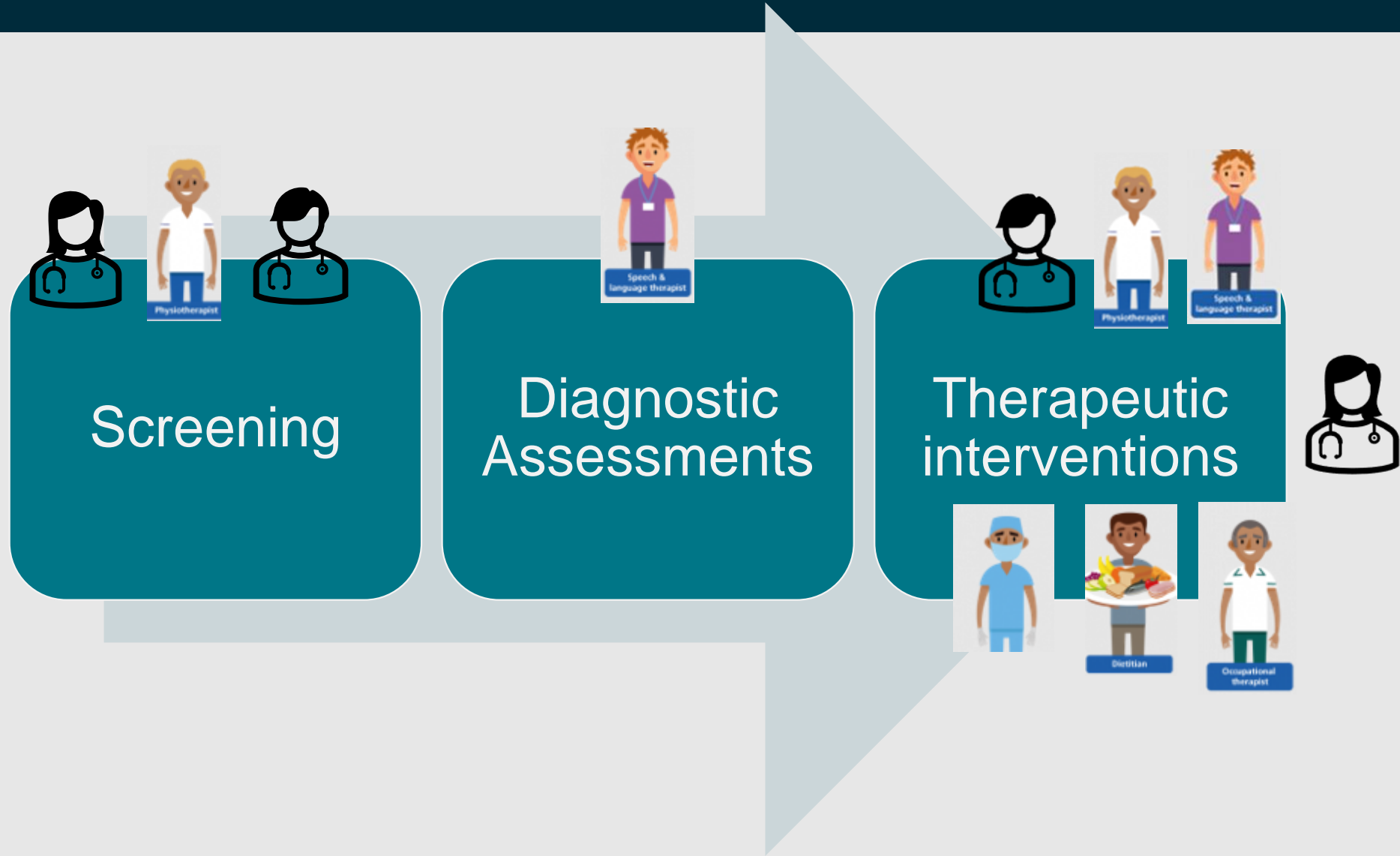




Fig 1 Complications associated with prolonged translaryngeal tracheal intubation.

# Multidisciplinary dysphagia clinical pathway



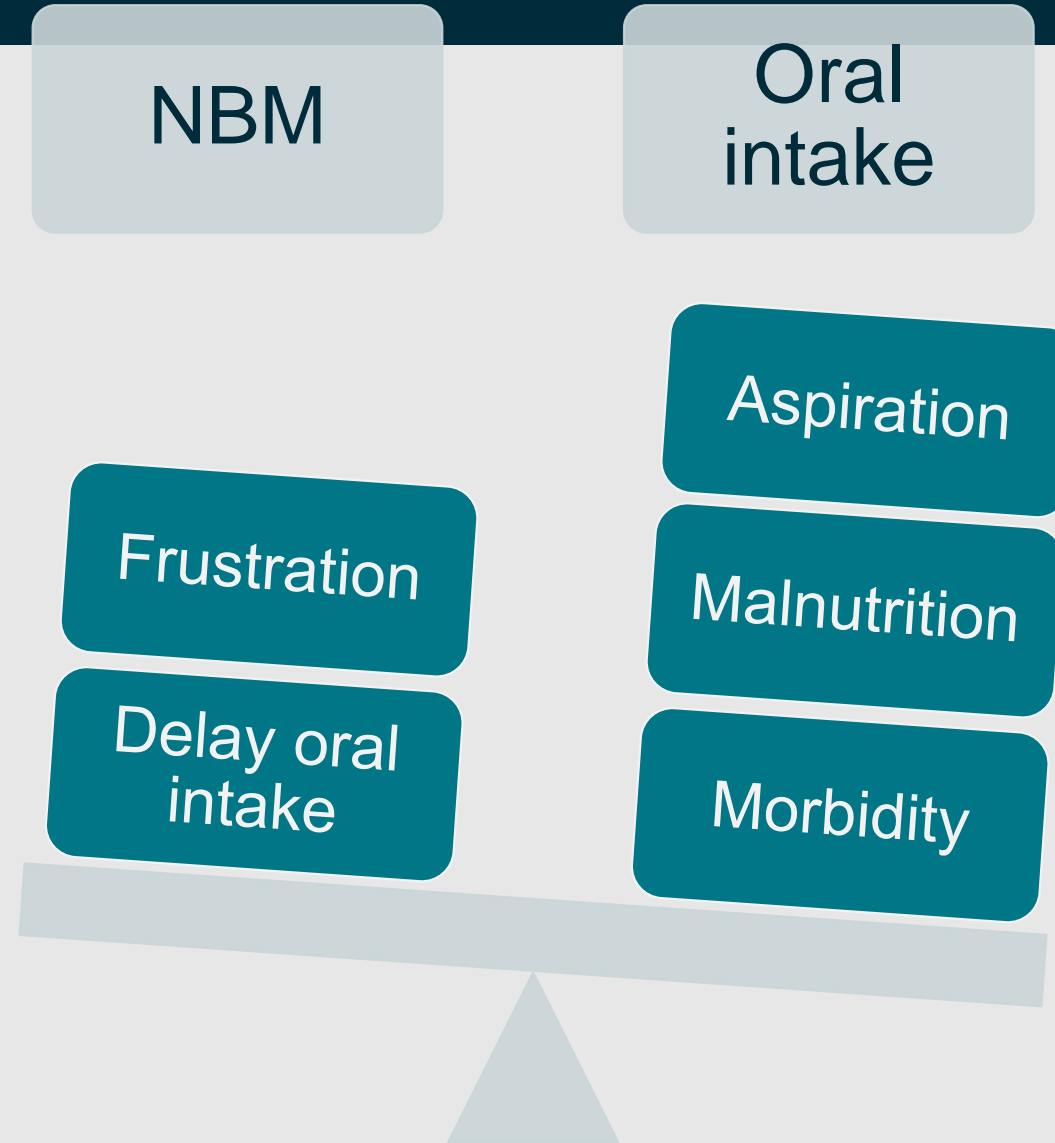


Videofluoroscopy  
(VFS)

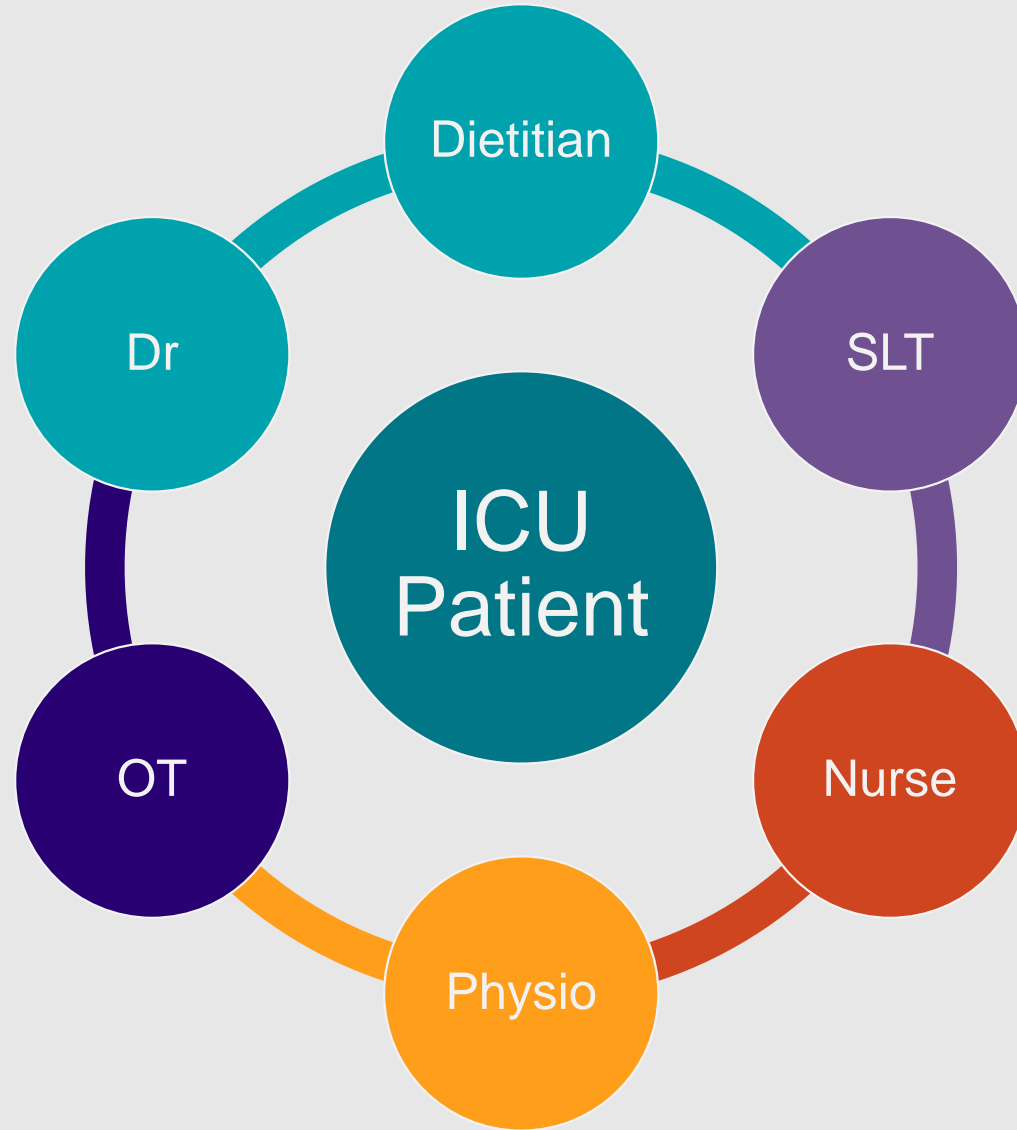


Fibreoptic endoscopic  
evaluation of swallowing (FEES)

# Consequences of decisions



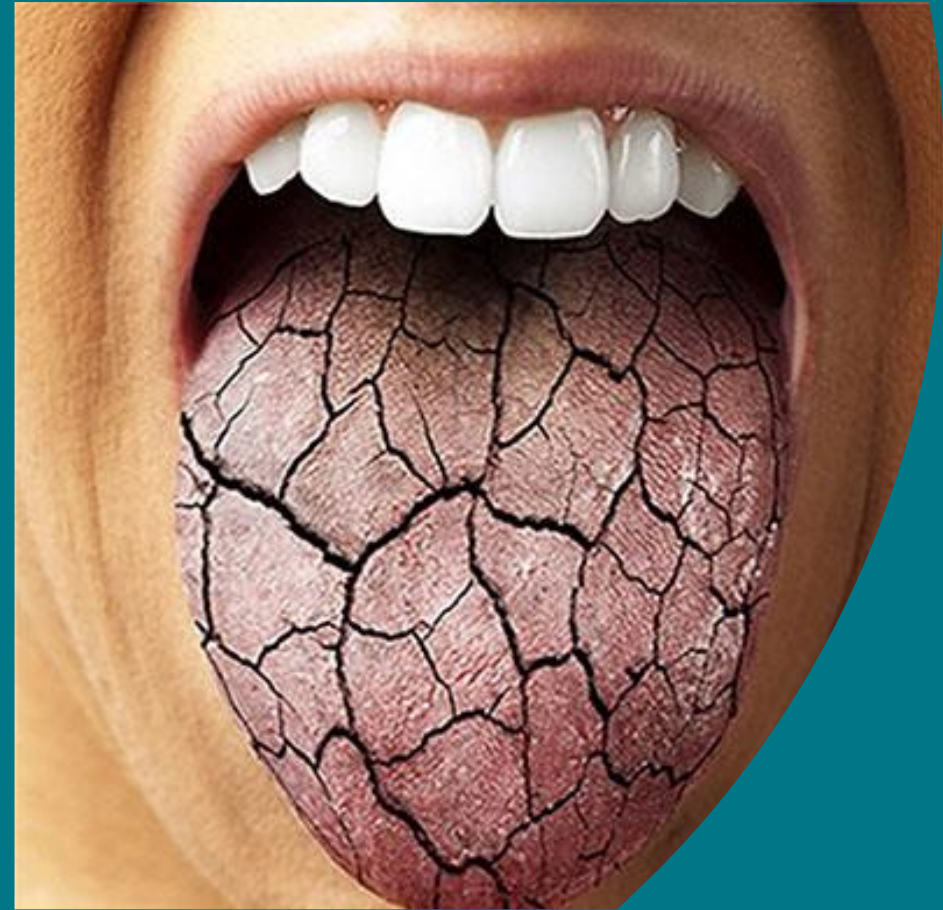
**What can you do?**





# When to screen?

- Awake and alert
- Respiratory and cardiovascular stability
- Posture/positioning
- Check ventilator and tracheostomy status (local policy)
- Thirst management



# Yale Swallow Protocol

## Yale Swallow Protocol

### Step 1. Exclusion Criteria

Protocol Deferred: NO risk factors for aspiration.

Protocol deferred if any YES answer to the following criteria:

- Y/N Unable to remain alert for testing
- Y/N No thin liquids due to pre-existing dysphagia
- Y/N Head of bed restrictions < 30°
- Y/N Tracheotomy tube present
- Y/N Nil per os order for medical/surgical reason

Continue with screening only if ALL criteria checked "NO"

If "YES"

Keep nil per os & consider FEES/VFSS

### Step 1a. Perform Brief Cognitive Screen:

- What is your name?       Open your mouth
- Where are you right now?       Stick out your tongue
- What year is it?       Smile

(If disoriented there is an increased odds of aspiration risk.)

### Step 1b. Oral Mechanism Evaluation:

- A. Labial closure
- B. Lingual range of motion
- C. Facial symmetry (smile/pucker)

(Altered lingual mobility increases odds of aspiration risk.)

Clinical  
Judgement to  
Continue to  
Step 2

### Step 2. 3 Ounce Water Swallow Challenge

- A. Sit patient upright at 80-90° (or as high as tolerated > 30°)
- B. Ask patient to drink entire 3 oz (90 cc) of water from a cup or with a straw, in sequential swallows, and slow & steady but without stopping
- C. Emphasize to patient, "Slow and steady swallowing - **WITHOUT STOPPING.**"

Note: Cup or straw can be held by staff or patient.  
If patient stops & starts due to misunderstanding instructions give a 2nd try.

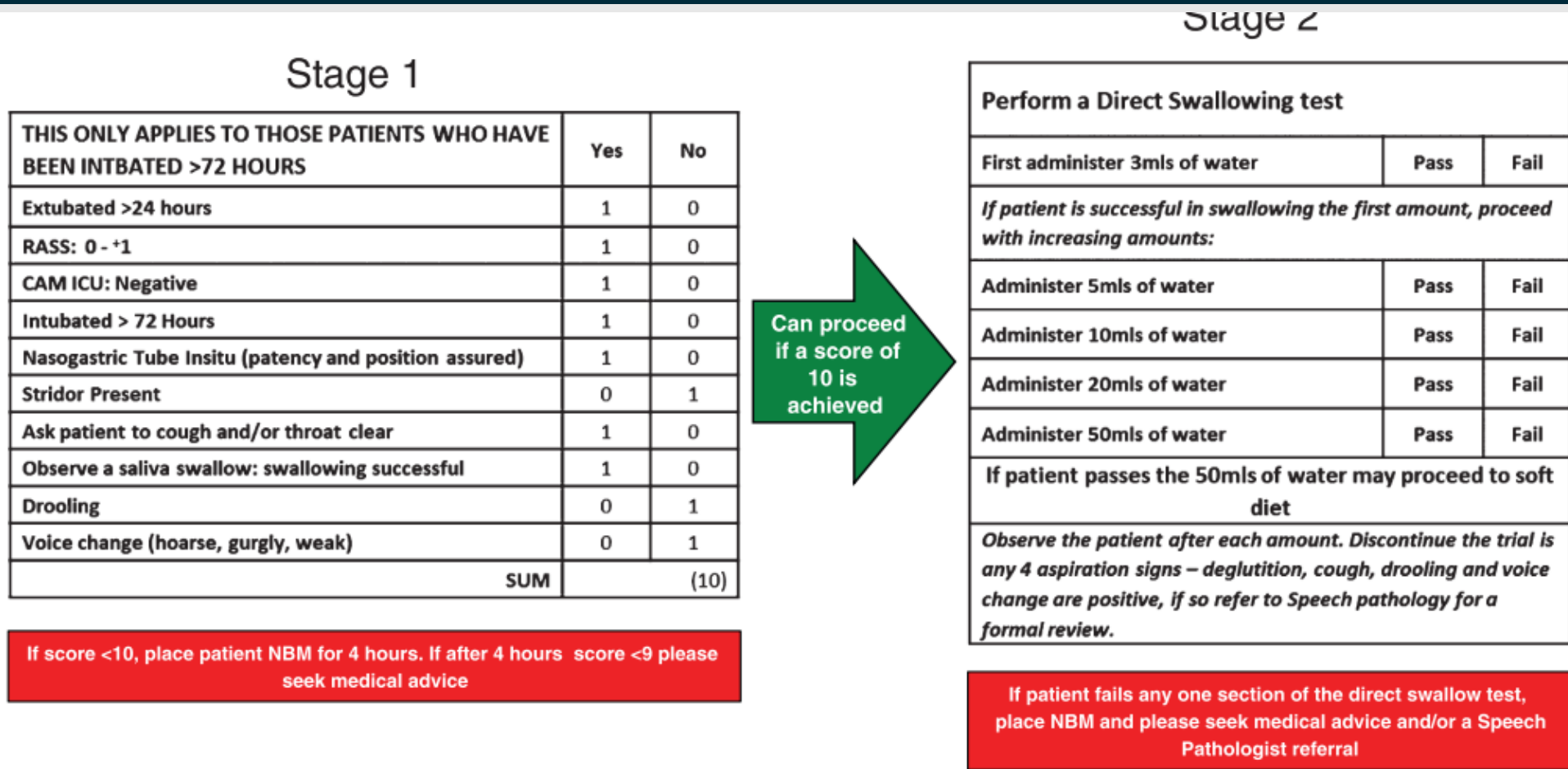
### Step 3. Pass/Fail Criteria

**PASS:** Complete & uninterrupted drinking of all 3 oz of water and with no overt signs of aspiration (coughing or choking) during or immediately after completion.

**FAIL:** Interrupted drinking, coughing, or choking during or immediately after completion of drinking

Keep nil per os

Either re-screen in 24 hours or FEES/VFSS



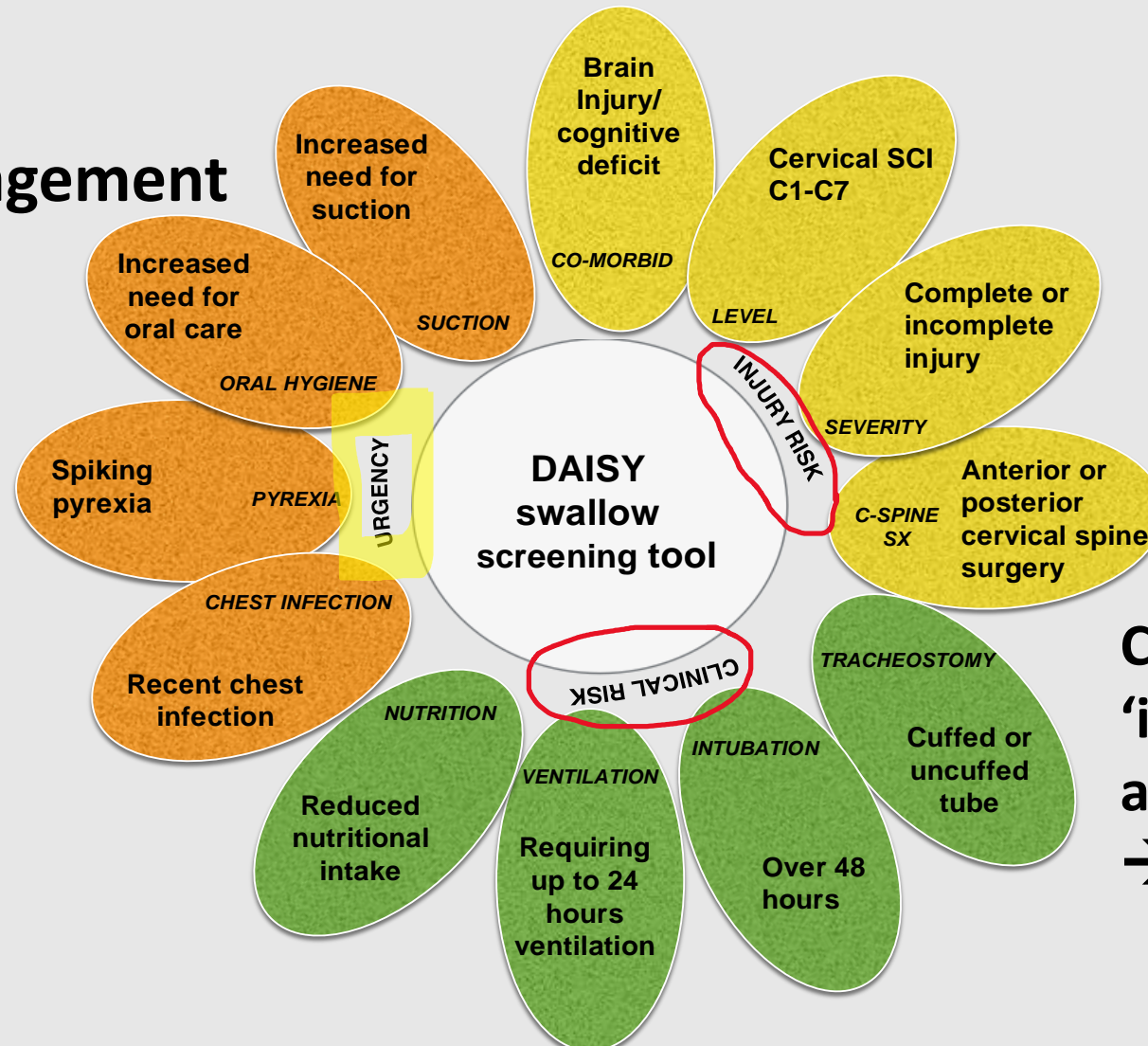
**Figure 2** GuSS-ICU bedside swallowing screening tool.

Christensen, M., & Trapl, M. (2018). Development of a modified swallowing screening tool to manage post-extubation dysphagia. *Nurs Crit Care, 23*(2), 102-107.

# DAISY swallow risk screening tool

## Signs of urgency

→ change in management



Clinical indicators in 'injury' or 'clinical' risk areas

→ consider SLT referral

## TIMING

- between oral and pharyngeal stages

## PHARYNGEAL STRENGTH

- to clear any secretions or residue

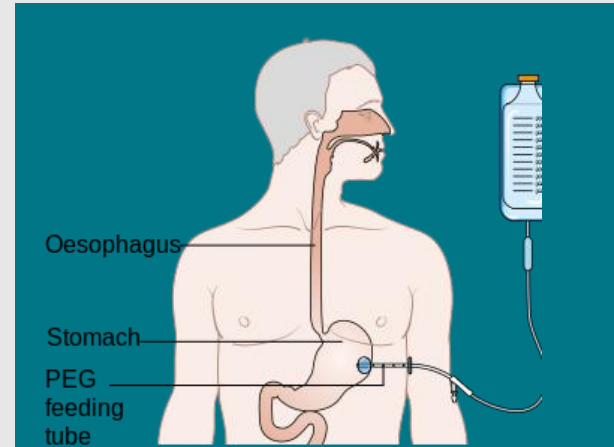
## LARYNGEAL CLOSURE

- protect airway from infiltration

- Posture modification
- Modified diet - IDDSI
- Thickened fluids

## NBM

- NGT
- PEG
- Risk feeding/tastes for pleasure



# Interventions for dysphagia

- **Strength/Skills training**
- Exercises
  - Facial Oral Tract Therapy (FOTT) (*Frank, 2007*)
  - Swallow stimulation
  - Effortful swallow
  - Masako Manoeuvre (tongue)\*
  - Laryngeal elevation\*
  - Head turn
  - Chin tuck
- and many others

Groher 1997; Hansen 2010; Hwang 2007; Logemann, 2008;  
<https://swallowingdisorderfoundation.com/oral-swallowing-exercises/>

Work in pairs or groups of 3:

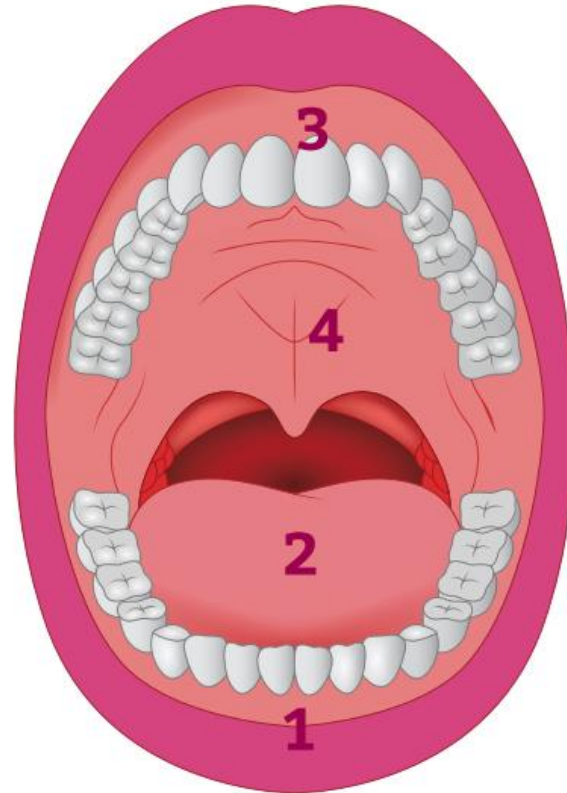
1. Examine the face and mouth
2. Undertake a screening assessment
3. Undertake TWO swallow exercise strategies





# Activity 1: Oral anatomy – 5 minutes

## What to look for?



1

Lips: Pink & moist

2

Tongue: Pink, moist & clean

3

Teeth & gums: Clean, teeth are not broken or loose. Gums are not bleeding / inflamed

4

Cheeks / palate / under tongue:  
Clean, saliva present & looks healthy

5

Dentures: Clean & comfortable

It is important that both the dentures  
and the mouth are cleaned daily

# Activity 2: Swallow screening – 5 minutes

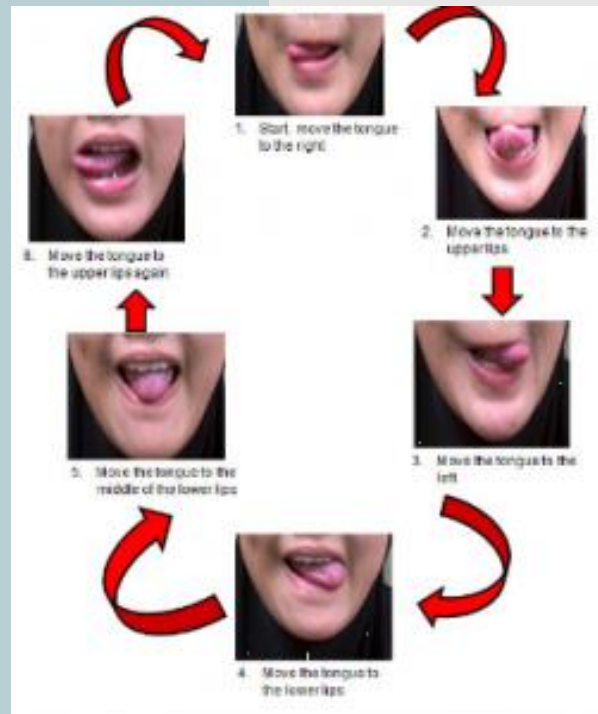
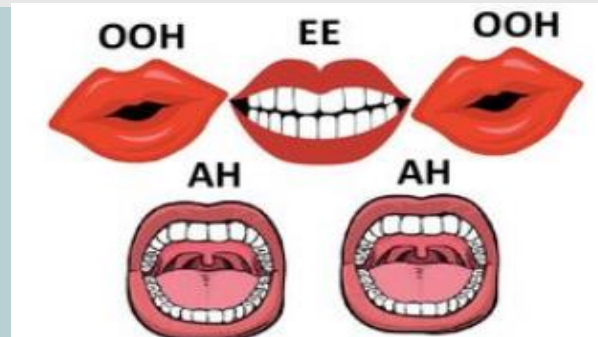
## ORAL FUNCTION

### Lips:

- Spread
- Purse
- Open/close
- Seal lips and inflate

### Tongue:

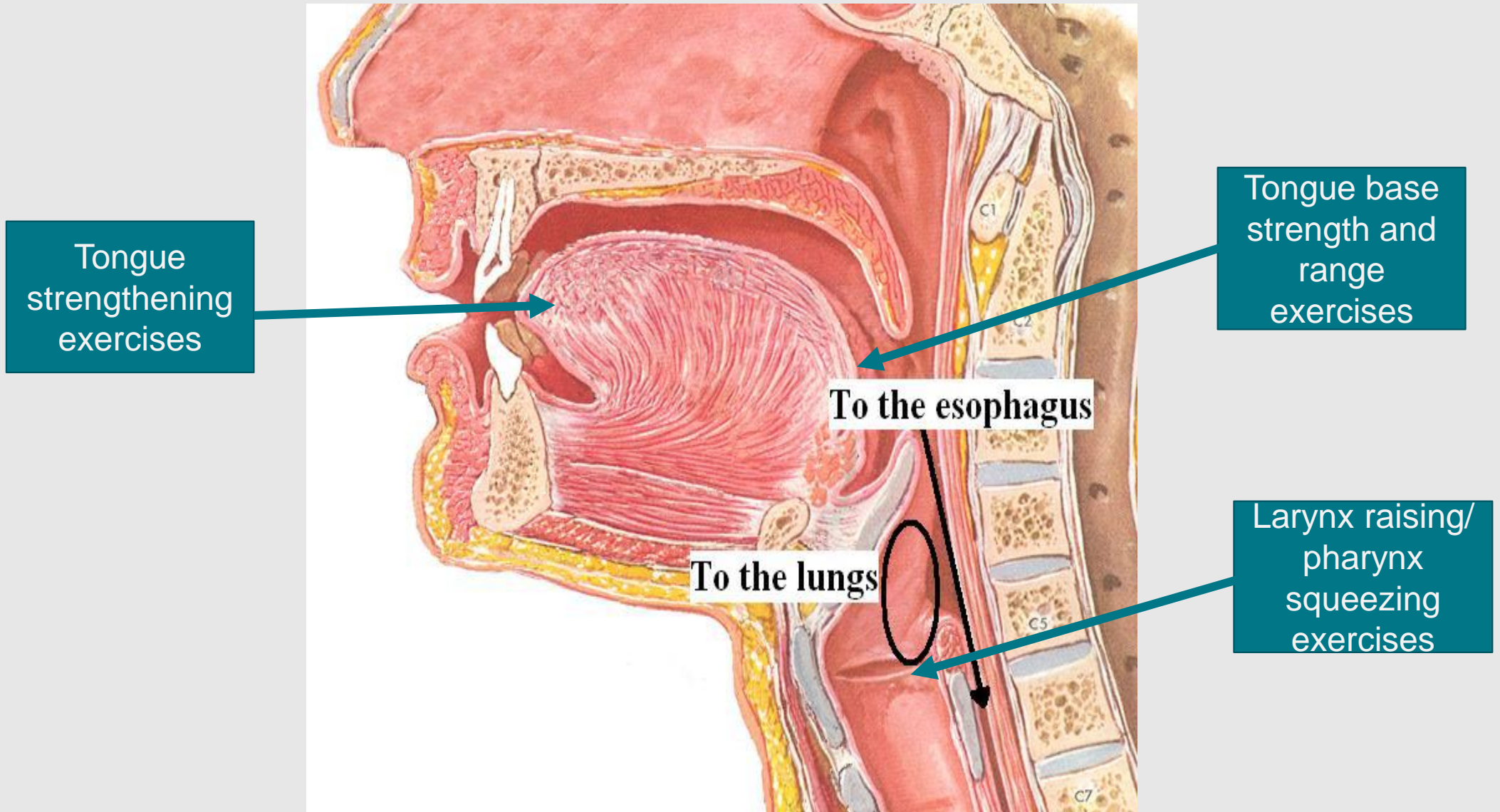
- Stick out
- Move laterally L&R
- Move up and down



## LARYNGEAL FUNCTION

- Cough to command
- Voice – prolonged vowel, count to 5
- Swallow own saliva
- Swallow sample material (mouth gel)

# Strengthening the system



# Activity 3: Indirect swallow interventions

## Tongue strength and resistance

1. Practise range of movement
2. Push tongue into cheek, L&R
3. Push against tongue tip

## Base of tongue exercise (Masako)

1. Hold tongue gently between teeth (or hold with gauze)
2. Swallow saliva whilst in this position
3. Rest and repeat

## Effortful Pitch Glides

1. Take a breath
2. On vowel 'eee' progressively raise your pitch, go as high as possible and feel the larynx rise
3. Reverse the exercise, starting at a high pitch and gradually moving to low



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## The Role of Speech and Language Therapy Supporting Nutritional Management in ICU

### The role of speech and language therapists in the intensive care unit

Jackie McRae<sup>1,2</sup> , Elizabeth Montgomery<sup>1</sup>, Zoë Garstang<sup>1</sup> and Eibhlin Cleary<sup>1</sup>

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## Dry mouth in spinal cord injury: causes and treatment

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## The role of speech and language therapy in critical care

The role of speech and language therapists (SLTs) in critical care can be unclear so this article sets out the scope of practice to increase awareness of the value of SLTs as part of the wider multidisciplinary team.

## Mouth Care Challenges and the Use of the COVID-19 Oral Grading System

### Identifying Risk Factors for Extubation in Patients with COVID-19

This paper describes the experiences of the Speech and Language Therapy (SLT) service at Nightingale Hospital, adapting to changing demands, which included upper airway challenges associated with extubation and oral management in patients with COVID-19.

# Passport to Successful ICU Discharge

Carole Boulanger  
David McWilliams  
*Editors*

## 5.2 Dysphagia Assessment and Screening

There are numerous ways dysphagia may be assessed, from bedside screening to formal objective assessment by the speech and language therapist (SLT). Informal screening may be carried out by the multi-disciplinary team (MDT) at the bedside, monitoring for signs of dysphagia such as coughing or choking or patients reporting problems or pain on swallow [3]. Similarly, team members may notice food debris



## The Power of Communication

8

Jackie McRae, Aeron Ginnelly, Helen Newman,  
Gemma Clunie, and Mari Viviers

### 8.1 Speech, Communication and Its Breakdown

Human communication comes in many forms and serves to transmit a message from one person to another or others. Messages may relate to needs, wishes, thoughts and ideas, or perform social functions. Paralinguistic tools such as rate of speech, intonation, volume, body language and facial expression provide nuances and further information to the listener. Context is key to the interpretation of a message [1]. For critical illness, the inability to communicate can be one of the issues of admission to ICU [2–4], leading to feelings of fear, anxiety, increasing the risk of short- and long-term psychological harm. A term that has been put forward to describe the complex ICU-related communication impairment and the effect they bear

# Dysphagia in Intensive Care

## Step 1 Assessment

Before oral intake, assess patient-related and clinical risk factors

### PATIENT-RELATED FACTORS

- |   |   |   |
|---|---|---|
| <ul style="list-style-type: none"> <li><input type="checkbox"/> Age: &lt; 65 years</li> <li><input type="checkbox"/> No frailty</li> <li><input type="checkbox"/> Previous endotracheal intubation (ET) for in total &lt; 24hrs</li> <li><input type="checkbox"/> No respiratory interventions</li> </ul> | <ul style="list-style-type: none"> <li><input type="checkbox"/> Age: &lt; 65 years, with frailty</li> <li><input type="checkbox"/> Post maxillofacial surgery</li> <li><input type="checkbox"/> Previous ET for in total &gt; 24hrs</li> <li><input type="checkbox"/> Receiving non-invasive ventilation</li> <li><input type="checkbox"/> History of cognitive impairment e.g. dementia</li> </ul> | <ul style="list-style-type: none"> <li><input type="checkbox"/> Age: &gt; 65 years, with frailty</li> <li><input type="checkbox"/> Neurological, respiratory or cardio-thoracic diagnosis</li> <li><input type="checkbox"/> Previous ET for in total &gt; 48hrs</li> <li><input type="checkbox"/> Tracheostomy in situ with or without ventilation</li> <li><input type="checkbox"/> History of bulbar dysfunction or neurological condition e.g. Multiple Sclerosis, Parkinson's Disease, Motor Neurone Disease</li> </ul> |
|---|---|---|

### CLINICAL RISK FACTORS

- |   |   |   |
|---|---|---|
| <ul style="list-style-type: none"> <li><input type="checkbox"/> Normal bulbar function (e.g. normal speech and swallow)</li> <li><input type="checkbox"/> Normal respiratory rate and secretion management</li> <li><input type="checkbox"/> Awake and alert</li> </ul> | <ul style="list-style-type: none"> <li><input type="checkbox"/> Voice problems present</li> <li><input type="checkbox"/> Variable respiration rate</li> <li><input type="checkbox"/> Dry mouth</li> <li><input type="checkbox"/> Confusion (but improving)</li> </ul> | <ul style="list-style-type: none"> <li><input type="checkbox"/> Signs of asymmetry or weakness in face, lips or tongue</li> <li><input type="checkbox"/> Inability to cough or swallow saliva</li> <li><input type="checkbox"/> High respiratory rate &gt; 25 breaths per minute</li> <li><input type="checkbox"/> High secretion load/suction needs</li> <li><input type="checkbox"/> Fluctuating drowsiness, unable to interact reliably</li> </ul> |
|---|---|---|

LOW Risk

MEDIUM Risk

HIGH Risk

## Step 2 Management

Follow up with appropriate swallowing tests and nutritional care plan involving the multi-disciplinary team

- Perform a thin fluid trial
- Thin liquids can be taken through a straw or standard cup
- If no change to breathing, voice or cough trigger proceed to food trial
- Monitor for symptoms of dysphagia: chestiness, increased need for suction, food/fluid on suction, cough or wet voice

⚠ If symptoms of dysphagia develop: stop oral intake, check changes in clinical presentation and refer to a trained specialist for assessment (e.g. speech and language therapist, physician)

- No dysphagia present but with at risk of malnutrition:
- Consider high protein and high energy ONS

- Screen with oral trials when patient is optimised (awake, alert and well positioned)
- Follow local protocols to assess at the bedside swallow function

⚠ If adverse effects (e.g. cough, wet voice or changes to respiratory pattern) occur: stop oral intake and refer to speech and language therapy.

⚠ If any signs of bulbar impairments, refer to a trained specialist (e.g. speech and language therapist, physician) for further assessment prior to oral trials and nutritional plan

- Oral intake possible but dysphagia present:
- Consider thickened or texture modified high protein and high energy ONS and/or enteral tube feeding

- Before oral intake commences refer to a trained specialist (e.g. speech and language therapist, physician) for assessment
- Discuss the nutrition care plan with the multidisciplinary team (e.g. speech and language therapist, dietitian, physician)

- Oral intake not possible due to severe dysphagia:
- Consider enteral tube feeding

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